

APPOINTMENT OF THIRD PARTY

Phillip Capital Limited ABN 14 002 918 247 AFSL 246827

PLEASE EMAIL COMPLETED AND SIGNED AUTHORITY TO YOUR ADVISER OR SETTLEMENTS@PHILLIPCAPITAL.COM.AU

By authorising a Third Party to act on your Phillip Capital Limited and Phillip Capital Trading Pty Ltd account (together "PhillipCapital"), eg. spouse, partner, accountant, financial planner, you agree that PhillipCapital may discuss your account with that person and may take instructions for the purchase and sale of securities from and provide copies of trade Confirmations to that person as well as entering into other related administrative actions. All accounts you open with PhillipCapital **must have a separate Appointment form.**

An Intermediary/Financial Planner referring this client must have executed the "Intermediary Agreement for the provision of financial and stockbroking Services" with PhillipCapital.

IF YOU REQUIRE A POWER OF ATTORNEY, PLEASE SEEK YOUR OWN LEGAL ADVICE.

1. ACCOUNT APPOINTING THIRD PARTY

Account Number

Account Name

2. APPOINTED THIRD PARTY DETAILS

Date of Appointment:

Title Dr. Mr. Mrs. Miss Ms Other

Full Name ABN / Registration Number
(If a Company)

Applicant Address
OR Company Registered Office Street Number & Name Suburb State Country Postcode

Country/ies of Citizenship Place & Country of Birth D.O.B.
OR Company - Jurisdiction and Name of Regulator OR Country of Company Registration OR Company Date of Registration

2.1 Contact Details If Third Party Is a Company

Tick here if the Third Party is a company who is authorising its employee/s to act for the account. Enter the persons details below:

Name Phone Email Signature

Name Phone Email Signature

Name Phone Email Signature

Tick here if the Third Party is to be emailed a copy of trading Confirmations for the account

If YES provide email address for Third party Email

2.2 Details For Anti Money Laundering (Mandatory)

A Politically Exposed Persons (PEP) and Foreign Residents

Tick here If the authorised third party or if a company - its directors, spouses and associates are PEP provide details of the role and Government body
If YES

A person who is a PEP and/or a foreign resident - is to complete the PEP Declaration - Source of Wealth Form available at www.phillipcapital.com.au

2.3 Tax Residency (Mandatory)

If you are a US citizen or US tax resident, before you proceed further, contact a PhillipCapital Adviser or consult with your tax adviser.

Tick here If you are an **Australian Tax Resident** and provide your **TFN or exemption**

Tick here If you are **NOT an Australian Tax Resident** complete the **FATCA Acknowledgement and Declaration**. (N/A if you are a US citizen or US tax resident).

2.4 Common Reporting Standard

The Common Reporting Standard requires that financial institutions are to collect and report information about its client's tax residency to the ATO and the ATO may share with the relevant tax authorities in those tax residences.

You must advise us if your tax residency changes within 30 days.

i If you are a tax resident outside of Australia (N/A for Trustees)

(If you ticked that you are not an Australian tax resident above), please list the country/ies/jurisdictions of tax residence other than the USA for tax purposes, the TIN and, if applicable, reasons why a TIN is unable to be provided.

Country/Jurisdiction of Tax Residence	TIN (Taxpayer Identification Number) <small>(The number provided to you by your tax authority for lodgement, e.g. NIC = China)</small>	If no TIN available, enter reason: A the country/jurisdiction where account holder is resident does not issue TIN B Unable to provide TIN due to the law by country/jurisdiction of tax residence C Unable to obtain TIN or equivalent (please state reason why in ii below).
1		A: B: C: Reason:
2		A: B: C: Reason:
3		A: B: C: Reason:

ii Please state reason(s) if the current country of residence differs from that provided above. (Including if unable to provide residence country)

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Note: For an individual to whom foreign laws impose income tax or its equivalent because of having an address or having a residence over a certain period of time in a foreign country or having a foreign nationality (excluding a resident who is deemed not to be a resident of a counterpart country and so on of the treaty according to the provision of a tax treaty), country of residence means that foreign country.

iii Any additional information pertaining to tax residence

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2.5 Signature of Appointed Third Party/ies

Name/s of Signatory

Name/s of Signatory

Signature of individual or director

Signature of individual or director or secretary

3. Signature of all Account Holder/s

Name/s of Account Holder/s

Name/s of Account Holder/s

Name/s of Account Holder/s

Signature
 Individual/Director/Sole Director/Authorised Third Party/POA

Signature
 Individual/Director/Secretary/Authorised Third Party

Signature
 Individual

PhillipCapital Admin: Account Number:

All accounts must have a separate individual Authority